

## ENGAGEMENT AGREEMENT

Advisor Disclosure, Electronic Communications & Privacy Policy

### IMPORTANT NOTICE

This document is provided for informational purposes only and does not constitute a binding agreement. A formal client relationship with **Enroll Insurance Services Corp.** is established only upon execution of a separate written agreement.

This document outlines the general terms under which **Enroll Insurance Services Corp.** ("Company," "We," "Us") provides insurance-related advisory services in the State of Florida. This document is published as part of the Company's standard business disclosures and is intended for public reference.

### 1. ENGAGEMENT AGREEMENT

This Engagement Agreement is intended to provide an overview of the professional services offered by Enroll Insurance Services Corp. and to outline the general responsibilities of both the Company and its clients.

All analyses, projections, recommendations, or illustrations provided by the Company are based solely on information supplied by clients and are advisory in nature. Any projections or examples are estimates only. Actual outcomes may vary due to changes in personal circumstances, market conditions, product terms, or other external factors.

#### Scope of Services

- Identifying client goals, needs, and priorities
- Reviewing relevant financial or insurance-related information
- Evaluating available insurance strategies and solutions
- Presenting recommendations aligned with stated objectives
- Assisting with implementation of selected products or services, where applicable
- Providing ongoing service support and periodic reviews, as agreed

Insurance and financial planning is an ongoing process. Clients are encouraged to review their coverage and objectives periodically.

#### Company Responsibilities

The Company is responsible for providing professional advisory services in good faith and in accordance with applicable Florida state laws. The Company does not guarantee results or outcomes.

### **Assumptions and Limitations**

All evaluations and recommendations are based on assumptions that may include time horizons, market conditions, product features, tax considerations, and economic factors. These assumptions may change over time.

Clients should carefully review all product disclosures, policy documents, and terms before making any decision to purchase or proceed.

### **Third-Party Professionals**

In certain circumstances, clients may be referred to or may choose to consult third-party professionals such as attorneys, accountants, or other specialists. Any such consultation is outside the scope of this engagement and occurs at the client's discretion.

## **2. ADVISOR DISCLOSURE**

Enroll Insurance Services Corp. is authorized to conduct insurance-related business activities in the State of Florida.

The Company provides insurance advisory and related services solely within the State of Florida and in accordance with applicable Florida state laws and regulations.

### **Carrier Access**

- Blue Cross
- Allianz

Product availability is subject to carrier approval, underwriting requirements, and applicable regulations.

### **No Legal or Tax Advice**

The Company does not provide legal, tax, or accounting advice. Clients are encouraged to consult qualified professionals regarding such matters.

### **3. COMPENSATION DISCLOSURE**

If a client elects to purchase insurance products or services through the Company, Enroll Insurance Services Corp. may receive compensation, which may include:

- Commissions paid by insurance carriers
- Ongoing service or renewal compensation
- Referral or performance-based compensation, where permitted

Compensation arrangements vary by product and carrier and do not affect the Company's obligation to act in good faith.

### **4. CONFLICTS OF INTEREST**

The Company strives to make recommendations based on client needs and objectives. Any material conflicts of interest will be disclosed when applicable.

Clients may request additional information regarding business relationships at any time.

### **5. ELECTRONIC COMMUNICATIONS**

By providing contact information, clients consent to receive electronic communications from Enroll Insurance Services Corp., including emails, newsletters, service updates, and other business-related correspondence.

Clients may opt out of receiving such communications at any time by using the unsubscribe mechanism provided or by contacting the Company directly.

### **6. PRIVACY POLICY**

#### **Commitment to Privacy**

Enroll Insurance Services Corp. respects client privacy and is committed to protecting personal information collected in the course of providing services.

Personal information is accessed only by authorized personnel and used solely for legitimate business purposes consistent with the reason it was collected or as otherwise permitted by law.

## **Use and Disclosure of Information**

Personal information may be collected, used, or disclosed in order to:

- Communicate effectively with clients
- Assess suitability and eligibility for insurance products or services
- Establish, manage, and service insurance arrangements
- Evaluate applications and underwriting requirements
- Detect and prevent fraud
- Comply with legal and regulatory obligations

## **Information We Do Not Share**

The Company does not sell client information and does not share personal information with unrelated third parties for their own marketing purposes.

## **Safeguards**

Reasonable administrative, technical, and physical safeguards are maintained to protect personal information from unauthorized access, disclosure, or misuse.

## **Client Privacy Choices**

Clients may withdraw consent for the use of personal information, subject to legal or contractual obligations and reasonable notice. Withdrawal of consent may limit the Company's ability to provide certain services.

## **7. GOVERNING LAW**

This document and any related client engagement shall be governed by and construed in accordance with the laws of the State of Florida and applicable United States federal laws.

## **8. GENERAL DISCLOSURE**

This document reflects standard operating practices of Enroll Insurance Services Corp. and is published as part of the Company's normal course of business operations in the United States.

## **9. CONTACT INFORMATION**

**Enroll Insurance Services Corp.**

6301 NW 5th Way, Suite 3500

Fort Lauderdale, FL 33309

Phone number: 1.866.707.4361

For inquiries regarding this document, services, or privacy practices, please contact the Company directly through official communication channels listed on this website.